Roll No. ☐☐☐☐☐☐☐☐☐☐☐☐ **Total No. of Pages : 02**

Total No. of Questions : 09

BBA (Sem.–5)

FINANCIAL MARKETS AND SERVICES

Subject Code : BBA-522-18

M.Code : 78199

Date of Examination : 10-12-2024

Time : 3 Hrs. **Max. Marks : 60**

INSTRUCTIONS TO CANDIDATES :

1. **SECTION-A is COMPULSORY consisting of TEN questions carrying TWO marks each.**
2. **SECTIONS-B consists of FOUR Sub-sections : Units-I, II, III & IV.**
3. **Each Sub-section contains TWO questions each, carrying TEN marks each.**
4. **Student has to attempt any ONE question from each Sub-section.**

SECTION-A

1. Write briefly :

a) Equity Shares

b) Financial Services

c) Bills of Exchange

d) Listing of Securities

e) Hire Purchase

f) Factoring

g) Discounting of Bills

h) Asset Management Company

i) Depository

j) Open Ended Schemes

SECTION-B

UNIT-I

2. Define financial system. Discuss in detail the features and structure of Indian financial system.

3. '*The role of SEBI is to monitor, regulate and control the conduct and operations of capital market'*. Elucidate with suitable examples.

UNIT-II

4. Define government securities. Discuss the features of government securities in India. Briefly discuss the possible reasons why the secondary market for government securities is not developed in India.

5. *'Primary markets enable the body corporates for mobilizing funds and listing of securities provides liquidity to shareholders*'. Explain and discuss various SEBI guidelines for issuing of securities in Indian primary market.

UNIT-III

6. What do you mean by lease financing? Differentiate lease financing from hire purchase. Discuss the merits of lease financing.

7. What do you mean by credit rating? Discuss various methodologies used by credit rating agencies in India for rating the financial securities.

8. What is dematerialization of securities? Discuss the process of dematerialization and re-materialization of securities.

9. What do you mean by mutual fund schemes? Discuss in detail the various types of schemes offered by mutual funds in India.

NOTE : Disclosure of Identity by writing Mobile No. or Marking of passing request on any paper of Answer Sheet will lead to UMC against the Student.