

Roll No.

Total No. of Pages : 03

Total No. of Questions : 10

MBA (Sem.-3)

MANAGEMENT OF FINANCIAL SERVICES

Subject Code :MBA-912-18

M.Code :76897

Date of Examination:03-12-2025

Time : 3 Hrs.

Max. Marks : 60

INSTRUCTIONS TO CANDIDATES :

1. **SECTION-A** contains **EIGHT** questions carrying **TWO** marks each and students has to attempt **ALL** questions.
2. **SECTION-B** consists of **FOUR** Subsections : **Units-I, II, III & IV**. Each Subsection contains **TWO** questions each carrying **EIGHT** marks each and student has to attempt any **ONE** question from each Subsection.
3. **SECTION-C** is **COMPULSORY** and consists of **ONE** Case Study carrying **TWELVE** marks.

SECTION-A

1. **Write briefly:**
 - a. Define Mutual Funds.
 - b. Depository Participant
 - c. ICRA
 - d. Financial Lease
 - e. Seed Capital
 - f. Debt Securitization
 - g. Basel I
 - h. Gap Analysis of ALM.

SECTION - B

UNIT - I

2. Explain the nature and scope of financial services in the modern economy. Discuss the role of financial services in the economic development of a country.
3. What is Dematerialization? Elaborate the process of Dematerialization.

UNIT - II

4. Explain the various stages of venture capital investment process — from project evaluation to exit.
5. Discuss the advantages and disadvantages of lease financing to the lessor and lessee. Explain the legal and accounting framework of leasing in India.

UNIT - III

6. Discuss the process and stages involved in debt securitization. Explain the role of Special Purpose Vehicle (SPV) in the securitization process.
7. Explain the different types of factoring services available in India. Discuss the process and mechanism of factoring with a suitable example.

UNIT - IV

8. Discuss the components and structure of Asset Liability Management. Explain the process of ALM and its role in maintaining financial stability in banks.
9. Discuss the different types of risks faced by banks — credit, market, liquidity and operational risk. Explain the techniques used for credit risk management in banks.

SECTION - C

10. Case study : Answer the following cases with suitable explanation as per law:

India's start-up ecosystem has witnessed a boom in venture capital (VC) investments, especially in technology, e-commerce and fintech sectors. Start-ups like Zomato, Paytm and Byju's have raised billions through venture capital funding. VC investors provide not only financial support but also mentorship and networking opportunities. However, the risk remains high, as many start-ups fail to generate profits in the early years. Despite this, venture capital continues to play a crucial role in promoting entrepreneurship and innovation. The Indian government has also launched initiatives like "Startup India" to encourage VC participation.

Questions :

- a. What role does venture capital play in supporting entrepreneurship in India?
- b. What are the risks and rewards for venture capitalists in the Indian market?
- c. How do government policies influence the growth of venture capital in India?
- d. Suggest ways to make venture capital funding more accessible to early-stage start-ups.

NOTE : Disclosure of Identity by writing Mobile No. or Making of passing request on any page of Answer Sheet will lead to UMC against the Student.